

Client Introduction/ Variation Form

Adviser name

Subject Client Introduction

Client name

Date

Matters for client consent

Client Initial

Part A - Fees to be deducted for servicing and advice:

I consent to Amova Asset Management New Zealand Limited (Amova NZ) deducting Servicing and Advice Fees payable to the adviser (in addition to Amova NZ fees and charges for the relevant product) from my investment. I agree that Amova NZ may deduct the following amounts:

- GoalsGetter KiwiSaver Scheme products [%] (capped at 0.30%) per annum. This fee is in addition to the in-fund servicing and advice fee of 0.20% per annum which is included in the annual fund charges you pay. This is paid by Amova NZ to your financial adviser.
- Amova NZ Investment Scheme products [%] (incl. GST) per annum

Part B - Consent to adviser receiving and/or accessing my information:

I consent to the adviser or any other person they may authorise, being able to access any information about the investments referred to above for the purposes of providing me with ongoing client servicing and advice and for the administration of the fees.

Part C - Adviser may transact on my behalf:

I consent to the adviser referred to above being able to invest money on my behalf into Amova NZ products, to transfer between Amova NZ products, to make withdrawals on my behalf and to otherwise transact with Amova NZ.

*Adviser to provide a copy of the front of client's NZ driver licence or NZ passport, ensuring to include the signature page opposite of photo page, and email this along with a signed copy of this form to: support@goalsgetter.co.nz

Agreed by client

Agreed by adviser

Signature and date

Signature and date

Full name of client

Full name of adviser

Useful information for clients

Expected fee ranges

The Servicing and Advice fee is capped at 0.30%p.a. in relation to KiwiSaver products. For other products we expect Servicing and Advice fees to be in the range of 0.00% and 1.00%p.a. (before GST) depending on the level of input and advice you are receiving from your Adviser and the value of your investment. Feel free to ask your adviser to explain the services he or she is providing to justify fees charged.

What are the fees?

When Amova NZ have received a letter of instruction from you to deduct an agreed fee payable to your financial adviser, we will deduct that agreed fee as a servicing and financial advice fee. The amount of the fee is agreed between you and your adviser on an individual basis.

How are these fees calculated and paid?

The fee is charged monthly based on the average daily balance of your investment. You are not required to make a monthly payment to your adviser, the fee is paid automatically by redemption of units you hold, until you advise us that you no longer wish us to pay that fee. If you are invested in the Amova NZ Investment Scheme you will see the fee deducted in your monthly holding statement sent by Amova NZ and as a fee transaction in GoalsGetter. If you are a member of the Amova NZ KiwiSaver Scheme you will see the annual amount of fees you've paid, in dollar terms, on your annual KiwiSaver member statement and monthly as a fee transaction in GoalsGetter.

Tax Treatment

The advice and servicing fee is automatically deducted for the purpose of calculating the PIE tax payable on your investment.

Ending the adviser relationship

You may advise Amova NZ to stop the Adviser dealing with us and transacting on your behalf, and to stop deducting fees or providing the Adviser access to your information at any point but you may still be required to pay your Adviser: Amova NZ will immediately terminate the adviser being able to transact, having access to information about your investment and/or deducting servicing and advice fees from your holdings on your instruction. However, you may still be liable to pay the adviser for services they have provided which will be based on your agreement with them. To contact Amova NZ email support@goalsgetter.co.nz or call 0800 303 308. You can transfer to another financial adviser that we have entered into an agreement with on request. (Please talk to your new financial adviser about how you can do this).

What is the responsibility of Amova NZ and what is the responsibility of your adviser?

There are two different businesses helping you; Amova NZ and your adviser. Your adviser is responsible for the advice they give any transaction they conduct on your behalf, their access to your information and the behaviour of any person they authorise. If you have a concern in relation to your adviser, you should speak to your adviser in the first instance and/or use their complaints process. Amova NZ is not responsible for any harm caused by your adviser or the persons working on their behalf, but it is responsible for the product. If you have any concerns about the product our complaints process is set out below.

Complaints

Should you have any concerns or complaints about the products you acquire please feel free to contact Amova NZ using the email or number referred to above. If you have made a complaint to us and it has not been resolved within 40 days or if you are dissatisfied with the proposed resolution you may refer the matter for free to an independent dispute resolution scheme, Financial Services Complaints Limited (FSCL).

Information for how to make a complaint can be found at www.fscl.org.nz.

To discuss any matters relating to servicing or advice or the reasons for the fees outlined above, please contact your financial adviser. All authorised financial advisers must belong to a disputes resolution scheme you can contact if you are dissatisfied with the response from them.

They must provide you with these details as part of their disclosure obligations.

1 For the most recent information on servicing and advice fees please refer to our Material Information Servicing and Advice Fees document available at <https://disclose-register.companiesoffice.govt.nz>