

Investment Scheme Application Form - Minor

Investing on behalf of a minor

To open an investment account for children under 18 years old, we'll need to verify their identity, confirm their address and in all instances, you will need to provide additional documents for yourself as the parent(s) or guardian(s) of the child.

- For applicants under 16, both of the applicants parents or legal guardians must sign this form
- For applicants 16 or 17, one of the applicants parents or legal guardians must also sign the form

What happens when the Minor turns 18 years old?

When the Minor turns 18 years old:

- They remain the legal owner of the account.
- They will now have sole and full authority over the account, including making transfers, fund switches and withdrawal decisions (noting that the Investment withdrawal restrictions will still apply).
- For the parent(s)/guardian(s) - any authority over the account ceases at this time, unless we receive evidence of enduring power of attorney. Parent/Guardian's access to view that particular account in GoalsGetter will be removed.

We will email the parent/guardian to request that updated contact details and current identity documentation be provided by the Minor to us. We will then open a new GoalsGetter account for the Minor so they can see their investment online.

Minor's details

Title:	
First name(s):	
Surname:	
Preferred Name:	
Please list any previous names:	
Home address:	
Street:	
Suburb:	
City:	Postcode:
Postal address (if different from above):	
Street:	
Suburb:	
City:	Postcode:
Contact Phone:	
Email:	
Date of Birth (dd/mm/yyyy)	
Occupation:	
IRD number:	

PIR Rate:

☐ 10.5%

☐ 17.5%

☐ 28.0%

The tax rate applied to the account is determined by the ownership of the account. As the Minor is the account owner, their Prescribed Investor Rate (PIR) will apply.

If you don't provide us with your PIR the top rate will be applied. If your PIR changes, please notify us. If you're not sure what your rate is see <http://www.ird.govt.nz/toii/pir/workout/>

How to make a Contribution

We'll send details of how to make a lump sum contribution once we've processed the application.

If you want to make extra contributions, you can complete the attached Direct Debit Form.

Regular contributions will be allocated in proportion to the investment options you select below.

The minimum regular investment for all funds is \$20.

Tax Residency

Are you a US citizen or US tax resident?

☐ No

☐ Yes

If yes, please provide your taxpayer identification number (TIN):	
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Are you a tax resident of any other country other than NZ or the US? If yes, please list below:

Country of Tax Residence	TIN (or reason why TIN was unable to be obtained)

For further information on you personal tax residency status you made need to speak to a tax adviser.

Investment options

There are 17 funds to choose from.

You can choose to invest in either one fund or a mix of funds.

Funds

Funds Name	% Allocation
Growth Fund	
Balanced Fund	
Conservative Fund	
Freedom Fund	
Core Equity Fund	
SRI Equity Fund	
Concentrated Equity Fund	
ARK Disruptive Innovation Fund	
Global Shares Fund	
Global Shares Hedged Fund	
Global Equity Multi-Manager Unhedged Fund	
Global Equity Multi-Manager Hedged Fund	
NZ Bond Fund	
NZ Corporate Bond Fund	
Global Bond Fund	
NZ Cash Fund	

You can select the funds in increments of 1% - your selection must add to 100%

Identification and Proof of Address

The parent or guardian that sign this form on behalf of an applicant under 18 must also have their identity verified. We do this electronically using a third-party system not owned by Amova.

For applicants under 18, you'll need to provide a certified copy of your birth certificate and certified proof of your parents/guardians' residential address.

Parent/Guardian 1 details

Title:			
First name(s):			
Surname			

Residential Address

Street			
Suburb			
City		Postcode:	

☐ I confirm that I give Amova NZ authority to check my identity and/address electronically using the details provided

☐ I have included a copy of my current signed NZ or AU Passport or NZ Driver License (front and back)

Parent/Guardian 2 details

Title:			
First name(s):			
Surname			

Residential Address

Street			
Suburb			
City		Postcode:	

☐ I confirm that I give Amova NZ authority to check my identity and/address electronically using the details provided

☐ I have included a copy of my current signed NZ or AU Passport or NZ Driver License (front and back). If you do not hold either of these please see page 8 for other options.

Member Declaration and Authorization

By completing and returning this application form you confirm that:

1. All information in this form is true and correct and complete.
2. You have been given, read and understand the relevant Amova NZ Investment Scheme Product Disclosure Statement and understand that additional information about the Amova NZ Investment Scheme is available on the Disclose register.
3. You are bound by the terms and conditions contained in the Amova NZ Investment Scheme trust deed, Product Disclosure Statement, online register entry and this application form.
4. You acknowledge that no person guarantees any investment returns from the Amova NZ Investment Scheme or the repayment of your investment.
5. You understand that the capital value of your investment in the Amova NZ Investment Scheme can rise or fall depending on market conditions and investment management decisions, and that it is therefore possible that you may receive less back than you have invested.
6. You acknowledge that making an Investment Selection is your responsibility, and that neither Amova NZ New Zealand Limited (Amova NZ) nor Public Trust is to be regarded as representing or implying that your choice is appropriate for your circumstances. Your choice is a binding direction to Amova NZ.
7. You acknowledge that the Privacy Act 2020 gives you the right to access and request correction of personal information held by Amova NZ, Public Trust and their associated entities and agents. The information will be held securely by Amova NZ whose address is Level 17, Vero Centre, Auckland 1010, New Zealand and APEX INVESTMENT ADMINISTRATION (NZ) LIMITED, whose address is Level 25, QBE Centre, 125 Queen Street, Auckland 1010, New Zealand. You understand that the information supplied will be used to process your application in the Amova NZ Investment Scheme (and may be disclosed for these purposes to third parties where relevant). The information may also be used by Amova NZ, Public Trust and their associated entities and agents to offer you other products or services it thinks may be of interest. You acknowledge that the information in this application form, and any information provided by you at later dates will be used and may be shared as outlined in our privacy statement on our website at www.amova-am.com.
8. You authorise Amova NZ to disclose member information to the Financial Markets Authority as may be required from time to time under the Financial Markets Conduct Act 2013 or any other law.
9. If you have provided your email address, or if you provide it at any later date, you consent to receiving electronic communications (including email) from Amova NZ, about the Amova NZ Investment Scheme and additional services that may be of interest to you. You may elect to not receive electronic communications at any time. You agree, pursuant to the Unsolicited Electronic Message Act 2007, that the person sending any such communication need not include a functional unsubscribe facility in the communication.
10. If signed under a power of attorney, the attorney certifies that he/she has not received notice of a revocation of that power.
11. You agree that Amova NZ may:

Collect, use and store the information you've provided in this application, any information you provide at a later date, and information collected from selected external agencies and entities, including Cloudcheck who perform electronic identity verification, to verify your identity and address in accordance with the requirements of the Anti-Money Laundering and Countering Financing of Terrorism Act 2009 (the "purpose"), and

Disclose to, and receive from, such selected external and independent agencies and entities, such information about you as it considers appropriate for the purpose.
12. You consent to have the information you've provided in this application and any information you provide at a later date, to be migrated to Amova NZ's digital platform once that platform is available. You will be provided with a secure user ID and password to access this platform once it becomes available.

Applicant to sign (16 or older)

Signature:		Date:	
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Parent or Guardian to confirm and sign

Signature:		Date:	
Full name of parent or guardian:			

- ☐ I wish to be provided with online view only access to this account. I understand this access will be removed when the applicant turns 18. (please provide your email address below if yes)

Email address:	
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I declare that I have read and accept the Member Declaration and Authorisation on behalf of the person of the person named in the application.

I declare I am the parent or legal guardian of the person named in this application.

Checklist

- You have attached a certified birth certificate for applicants under 18
- You have attached certified address documentation
- You have signed and dated this form
- One parent or legal guardian has signed this form and provided identification information

You can scan the completed application form and other listed requirements and email them to **support@goalsgetter.co.nz** or post them to:
GoalsGetter KiwiSaver Scheme
PO Box 3892, Shortland Street, Auckland 1142

Identification Requirements for parents/guardians

Copies of the documentation below must be certified

Option 1: Please provide one of the following forms of photographic identification:

- Passport
- New Zealand firearms licence

OR

Option 2 (set) - If you do not have any of the above types of identification available you may provide one of the following:

- Birth certificate
- Citizenship certificate

Together with, one of the following forms of photographic identification:

- New Zealand driver licence
- 18+ Card
- Current international driving permit

OR

Option 3 (set) - If you do not have any of the above types of identification available you may provide one of the following:

- New Zealand driver licence

Together with, one of the following:

- Document issued by a registered bank that contains your full name and signature
- Bank statement addressed to you dated within the last 3 months
- Any New Zealand Government Department statement addressed to you dated within the last 3 months
- New Zealand SuperGold Card

AND Proof of Residential Address:

- Bank statement Utility bill Rates bill
- Letter or statement from a NZ government agency

Who can certify your documents?

The following people can certify photocopies of original documents as true and correct copies:

- Notary Public
- Justice of the Peace

Please note that the certifier must be at least 16 years of age and cannot be:

- a person involved in the transaction requiring the certification - related to you your spouse or partner
- a person who lives at the same address as you.

Copies of ID and proof of address must be recently certified (in the previous three months) as a true copy of the original which represents your identity by one of the people listed above.