

# Application Form Minors – Under 18



## GoalsGetter KiwiSaver Scheme Application Form – Minors

### Investing on behalf of a minor

To open a KiwiSaver account for children under 18 years old, we'll need to verify their identity, confirm their address and in all instances, you will need to provide additional documents for yourself as the parent or guardian of the child.

- For applicants under 18, one of the applicants' parents or legal guardians must sign this form.

### What happens when the Minor turns 18 years old?

When the Minor turns 18 years old:

- They remain the legal owner of the account.
- They will now have sole and full authority over the account, including making transfers, fund switches and withdrawal decisions (noting that the KiwiSaver withdrawal restrictions will still apply.)
- For the parent(s)/guardian(s) – any authority over the account ceases at this time, unless we receive evidence of enduring power of attorney, Parent/Guardian's access to view that particular account in GoalsGetter will be removed.
- We will email the parent/guardian to request that updated contact details and current identity documentation be provided by the Minor to us. We will then open a new GoalsGetter account for the Minor so they can see their investment online.

## Minor's details

Title:

First name(s):

Surname:

Preferred Name:

Please list any previous names:

Home address:

Street:

Suburb:

City:

Postcode:

Postal address (if different from above):

Street / PO Box:

Suburb:

City:

Contact Phone:

Email:

Occupation:

Date of Birth (dd/mm/yyyy):

IRD Number:

### Prescribed Investor Rate (PIR)

☐ 10.5%

☐ 17.5%

☐ 28%

The tax rate applied to the account is determined by the ownership of the account. As the Minor is the account owner, their Prescribed Investor Rate (PIR) will apply.

If you don't provide us with your PIR the top rate will be applied. If your PIR changes, please notify us. If you're not sure what your rate is see <http://www.ird.govt.nz/toii/pir/workout/>

## Are you transferring from another KiwiSaver scheme?

☐ Yes

☐ No

Current Provider (if known)

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## How To Contribute

We'll send details of how to make a lump sum contribution once we've processed the application.

Regular contributions will be allocated in proportion to the investment options you select below.

The minimum regular investment for all funds is \$20.

## Tax Residency

We need you to answer a couple of extra questions, so if you decide you later want to invest in our retail funds, you're ready to go:

### Are you a US citizen or US tax resident?

☐ Yes

☐ No

If yes please provide your taxpayer identification number (TIN)

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Are you a tax resident of any other country other than NZ or the US? If yes, please list below:

| Country of Tax Residence | TIN (or reason why TIN was unable to be obtained) |
|--------------------------|---------------------------------------------------|
|                          |                                                   |
|                          |                                                   |
|                          |                                                   |
|                          |                                                   |

For further information on your personal tax residency status, you may need to speak to a tax adviser.

## Investment options

There are 20 funds to choose from.

You can choose to invest either in one fund or a mix of funds – you can select in increment of 1%, of your allocation must add to 100%.

### Funds

| Fund Name                                        | % Allocation |
|--------------------------------------------------|--------------|
| GoalsGetter Generate Focused Growth Fund         |              |
| GoalsGetter Milford Aggressive Fund              |              |
| GoalsGetter Amova High Growth Fund               |              |
| GoalsGetter Harbour Active Growth Fund           |              |
| GoalsGetter Milford Active Growth Fund           |              |
| GoalsGetter Amova Growth Fund                    |              |
| GoalsGetter Pathfinder Ethical Growth Fund       |              |
| GoalsGetter Salt Capital Growth Fund             |              |
| GoalsGetter Generate Balanced Fund               |              |
| GoalsGetter Harbour Sustainable Impact Fund      |              |
| GoalsGetter Milford Balanced Fund                |              |
| GoalsGetter Amova Balanced Fund                  |              |
| GoalsGetter Milford Conservative Fund            |              |
| GoalsGetter Amova Conservative Fund              |              |
| GoalsGetter Generate Thematic Fund               |              |
| GoalsGetter Amova ARK Disruptive Innovation Fund |              |
| GoalsGetter Amova Global Shares Fund             |              |
| GoalsGetter Amova SRI Equity Fund                |              |
| GoalsGetter Amova NZ Cash Fund                   |              |
| GoalsGetter Amova NZ Corporate Bond Fund         |              |

## Identification and Proof of Address

The parent or guardian that sign this form on behalf of an applicant under 18 must also have their identity verified. We do this electronically using a third-party system not owned by Amova.

For applicants under 18, you'll need to provide a certified copy of your birth certificate and certified proof of your parents/guardians' residential address.

### Parent/Guardian details

|                       |  |
|-----------------------|--|
| <b>Title:</b>         |  |
| <b>First name(s):</b> |  |
| <b>Surname</b>        |  |

### Residential Address

|               |  |                  |  |
|---------------|--|------------------|--|
| <b>Street</b> |  |                  |  |
| <b>Suburb</b> |  |                  |  |
| <b>City</b>   |  | <b>Postcode:</b> |  |

- ☐ I confirm that I give Amova authority to check my identity and/address electronically using the details provided I have included a copy of my current signed NZ or AU Passport or NZ Driver License (front and back)
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If you do not hold either of these please see page 8 for other options.

## Member Declaration and Authorization

☐ By completing and returning this application form you confirm that:

1. You are eligible to enroll in a KiwiSaver Scheme (see the PDS for the eligibility criteria)
2. All information in this form is true and correct and complete.
3. You have given, read and understand the relevant GoalsGetter KiwiSaver Scheme Product Disclosure Statement and understand that additional information about the GoalsGetter KiwiSaver Scheme is available on the Disclose register.
4. You are bound by the terms and conditions contained in the GoalsGetter KiwiSaver Scheme trust deed, Product Disclosure Statement, online register entry and this application form.
5. You acknowledge that no person (including the Crown) guarantees any investment returns from the GoalsGetter KiwiSaver Scheme or the repayment of your investment.
6. You understand that the capital value of your investment in the GoalsGetter KiwiSaver Scheme can rise or fall depending on market conditions and investment management decisions, and that it is therefore possible that you may receive less back than you invested.
7. You acknowledge that making an Investment Selection is your responsibility and that neither Amova NZ nor Public Trust is to be regarded as representing or implying that your choice is appropriate for your circumstances. Your choice is a binding direction to Amova NZ.
8. You acknowledge that subject to the KiwiSaver Act 2006, you will be unable to make any withdrawals from the GoalsGetter KiwiSaver Scheme until Amova NZ has received your signed withdrawal request and any supporting or identification information required.
9. You acknowledge that the Privacy Act 2020 gives you the right to access and request correction of personal information held by Amova NZ, Public Trust and the associated entities and agents. The information will be held securely by Amova whose address is Level 17, Vero Centre, Auckland, 1010, New Zealand and Apex Investment Administration (NZ) Ltd, whose address is Level 25 QBE Centre, 125 Queen Street, Auckland 1010, New Zealand. You understand that the information supplied will be used to process your application in the Amova Investment Scheme (and may be disclosed for these purposes to third parties where relevant). The information may also be used by Amova, Public Trust and their associated entities and agents to offer you other products or services it thinks may be of interest. You acknowledge that the information in this application form, and any information provided by you at later dates will be used and may be shared as outlined in our privacy statement on our website at [www.goalsgetter.co.nz](http://www.goalsgetter.co.nz)
10. You authorize Amova to disclose member information to the Financial Markets Authority as may be required from time to time under the Financial Markets Conduct Act 2013 or any other law.
11. If you are a member of another KiwiSaver Scheme and/or complying Superannuation fund (and have chosen to transfer your benefit from that KiwiSaver Scheme or complying Superannuation fund to the GoalsGetter KiwiSaver Scheme), you agree to the transfer of your interest in the other scheme to the GoalsGetter KiwiSaver Scheme and authorize the manager and/or supervisor of your current scheme to provide Amova and Public Trust with any personal information needed to complete the transfer. You understand that you will cease to be a member of the other scheme following the transfer.
12. If you have provided your email address, or if you provide it at any later date, you consent to receiving electronic communications (including emails) from Amova, about the GoalsGetter KiwiSaver Scheme and additional services that may be of interest to you. You may elect to not receive electronic communications at any time. You agree, pursuant to the Unsolicited electronic Message Act 2007, that the person sending any such communication need not include a functional unsubscribe facility in the communication.
13. If signed under a power of attorney, the attorney certifies that he/she has not received notice of a revocation of that power.
14. You agree that Amova may:
  - Collect, use and store the information you've provided in this application, any information you provide at a later date, and information collected from selected external agencies and entities, including Cloudcheck who perform electronic identity verification, to verify your identity and address in accordance with the requirements of the Anti-Money Laundering and Countering Financing of Terrorism Act 2009 (the "purpose"), and
  - Disclose to, and receive from, such selected external and independent agencies and entities, such information about you as it considers appropriate for the purpose.
15. You consent to have the information you've provided in this application and any information you provide at a later date, to be migrated to Amova's digital platform once that platform is available. You will be provided with a secure user ID and password to access this platform once it becomes available.

### Applicant to sign (16 or older)

|            |  |       |  |
|------------|--|-------|--|
| Signature: |  | Date: |  |
|------------|--|-------|--|

### Parent or Guardian to confirm and sign

|                                  |  |       |  |
|----------------------------------|--|-------|--|
| Signature:                       |  | Date: |  |
| Full name of parent or guardian: |  |       |  |

☐ I wish to be provided with online view only access to this account. I understand this access will be removed when the applicant turns 18. (please provide your email address below if yes)

|                |  |
|----------------|--|
| Email address: |  |
|----------------|--|

I declare that I have read and accept the Member Declaration and Authorisation on behalf of the person of the person named in the application.

I declare I am the parent or legal guardian of the person named in this application.

### Checklist

- You have attached a certified birth certificate for applicants under 18
- You have attached certified address documentation
- You have signed and dated this form
- One parent or legal guardian has signed this form and provided identification information

You can scan the completed application form and other listed requirements and email them to **support@goalsgetter.co.nz** or post them to:  
GoalsGetter KiwiSaver Scheme  
PO Box 3892, Shortland Street, Auckland 1142